

# Matrix Product Renormalization Group method

Masahiko G. Yamada (UTokyo), Masahiro O. Takahashi, Sanno Takumi, Yutaka Akagi,  
Hidemaro Suwa, Satoshi Fujimoto, and Masafumi Udagawa Nov. 16, 2024.

# Outline

- Introduction to automatic differentiation (AD)
- 1D implementation (essentially same as cMPO methods)
- 2D implementation
- Summary

# Dual numbers

- A dual number is  $a + b\varepsilon$  with a condition  $\varepsilon^2 = 0$ .
- Simply,  $\varepsilon$  can be regarded as an infinitesimal number.
  - Various functions/operations can be extended to dual numbers.
  - For example,  $f(a + b\varepsilon) = f(a) + bf'(a)\varepsilon$ .
- Mathematically this is a commutative ring  $\mathbb{C}[\varepsilon]/\varepsilon^2$ . This construction is nothing but an algebraic definition of a tangent space.

# Forward-mode automatic differentiation

- The relation  $f(a + b\varepsilon) = f(a) + bf'(a)\varepsilon$  can be used inversely to recover the derivative. This is called forward-mode automatic differentiation (AD).
- This is useful when  $f$  is a complicated composite function.
- In other words, the automatic differentiation “automatically” decomposes the derivative of composite functions based on the “chain rule” and gives you a correct answer (derivative).
  - cf. Usually, the so-called reverse-mode AD is more efficient.

# Hyper-dual numbers

- A hyper-dual number is  $a + b\varepsilon_1 + c\varepsilon_2 + d\varepsilon_1\varepsilon_2$  with conditions  $\varepsilon_1^2 = 0$ ,  $\varepsilon_2^2 = 0$ , and  $\varepsilon_1\varepsilon_2 = \varepsilon_2\varepsilon_1$ .
- By hyper-dual numbers we can compute the derivative up to the second order in a similar way as in dual numbers.
  - cf. If we impose an anticommutation relation  $\xi_1\xi_2 = -\xi_2\xi_1$ , then it is a well-known Grassmann number, which is maybe useful to solve fermionic models like the Hubbard model (my speculation).

# Trotter error zero limit

- Using this, we can directly take a Trotter error zero limit.

$$Z = \text{tr} e^{-\beta H} = \text{tr} (e^{-\Delta\tau H})^{\beta/\Delta\tau}$$

- $\Delta\tau = \varepsilon_1 \varepsilon_2, \sqrt{\Delta\tau} = \frac{\varepsilon_1 + \varepsilon_2}{\sqrt{2}}$

- Mathematically incorrect explanation:

- If we put  $\Delta\tau = 1/n$ , then  $Z = \text{tr} \left( 1 - \frac{H}{n} \right)^{\beta n} \rightarrow \text{tr} e^{-\beta H} \quad (n \rightarrow \infty)$ .

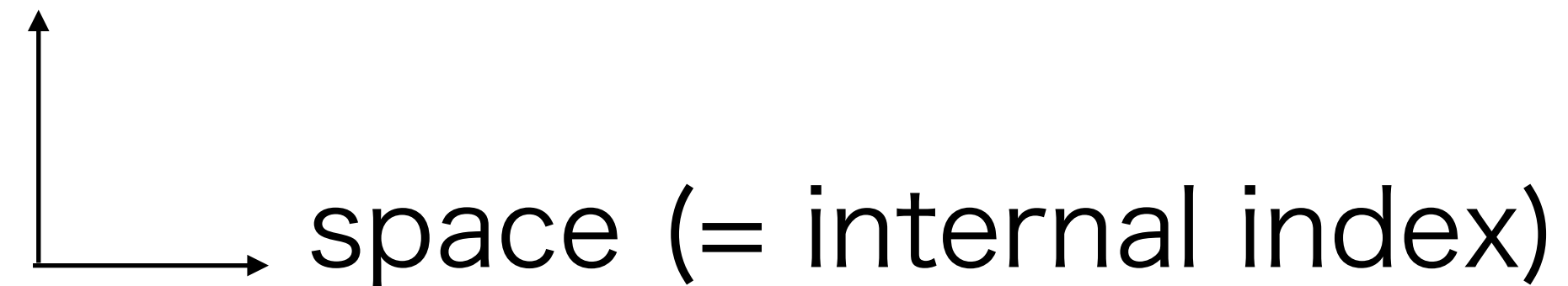
- I am not sure how to make this rigorous. I need help from mathematicians!

# Hyper-dual number tensor networks

cMPO = continuous MPO

- $$H = - \sum_{j=1}^N (JS_j^z S_{j+1}^z + \Gamma S_j^x)$$

imaginary time (= spin index)



- Corresponding cMPO:

$$\text{M} = \begin{pmatrix} 1 + \Gamma \varepsilon_1 \varepsilon_2 S^x & \sqrt{J/2}(\varepsilon_1 + \varepsilon_2) S^z \\ \sqrt{J/2}(\varepsilon_1 + \varepsilon_2) S^z & 0 \end{pmatrix}$$

\* $S^x$ ,  $S^z$  acting on the up-down indices

- Local many-body problems = contraction of (hyper- or hyper-hyper- or hyper-hyper-hyper-)dual number tensor networks.

1D implementation of MPRG



# cMPS algorithm for 1D finite temperature

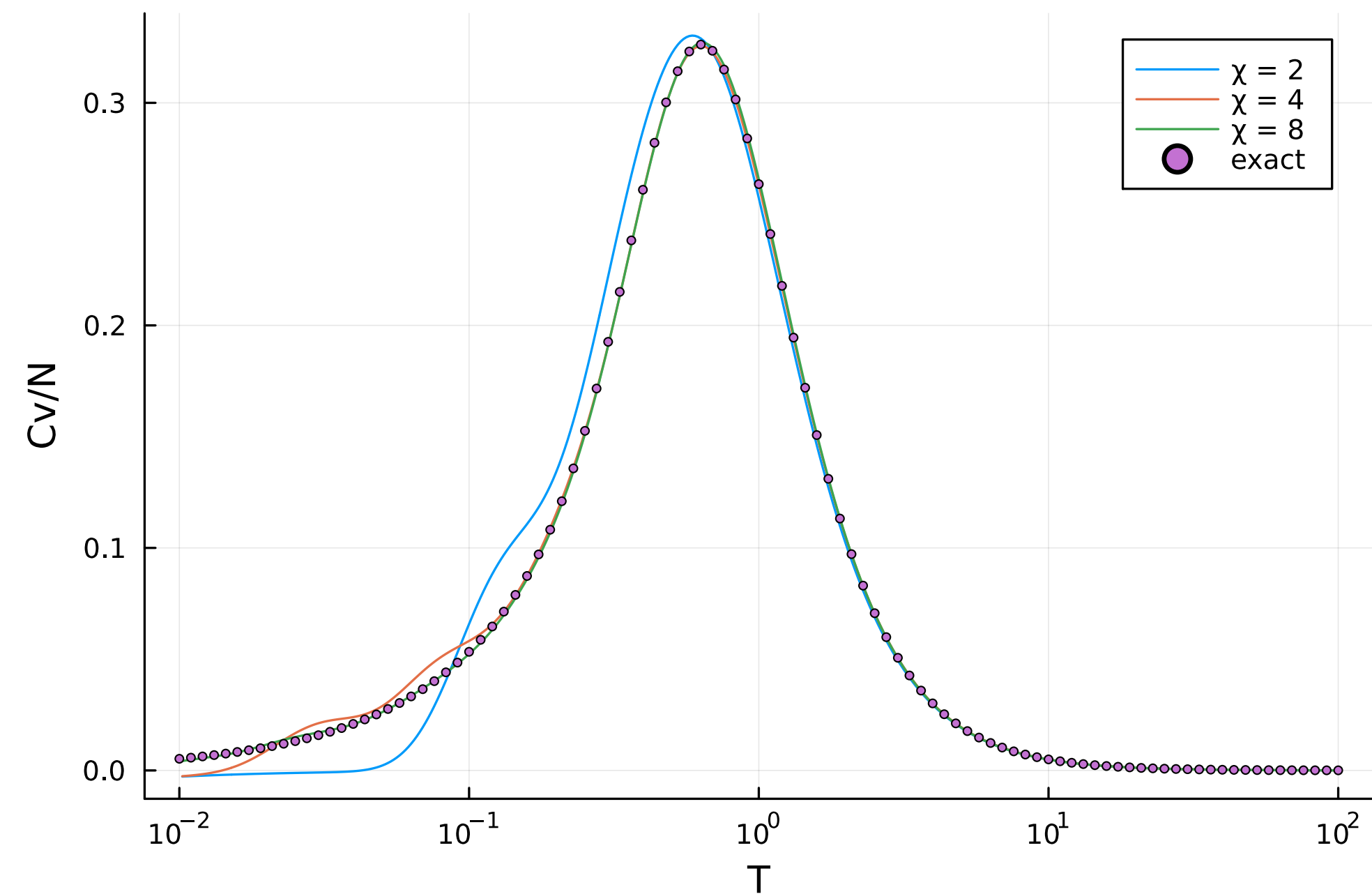
- As already explained, a 1D finite-temperature problem is reduced to finding a fixed point for some cMPO.
  - We can go to the finite temperature by (in some sense) imposing the periodic boundary condition. I will explain “in what sense” later.
- Of course, we can approximate the “eigenvector” by cMPS.
- Numerically, we use a finite bond dimension ( $\chi$ ) for cMPS and find the best solution for the fixed point iteration.

Essentially same idea (original of cMPO): Tang-Tu-Wang PRL **125**, 170604 (2020).

# Example: 1D specific heat

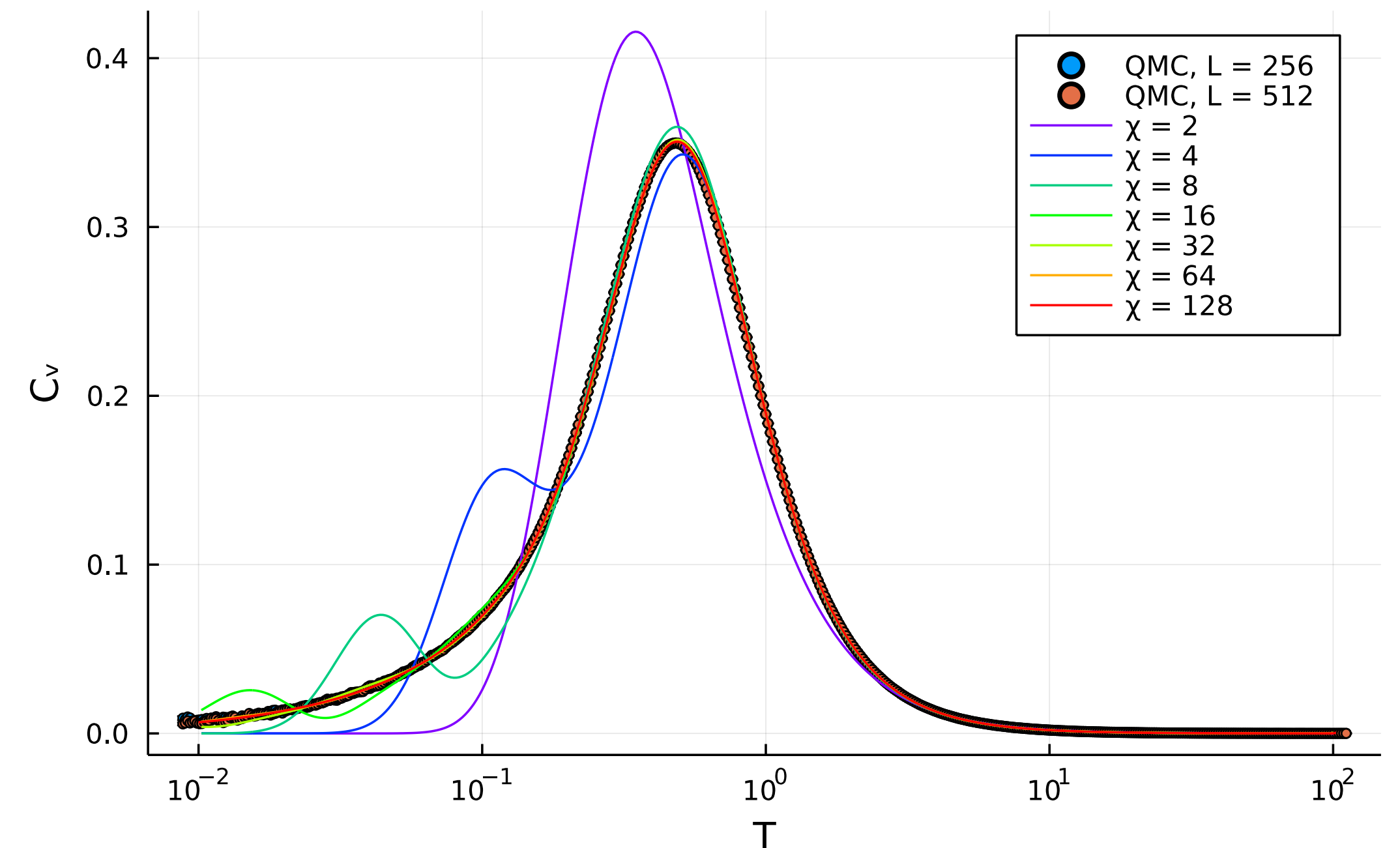
- Here's the benchmark for the cMPS & cMPS/MPS correspondence:

1D transverse field Ising model at criticality



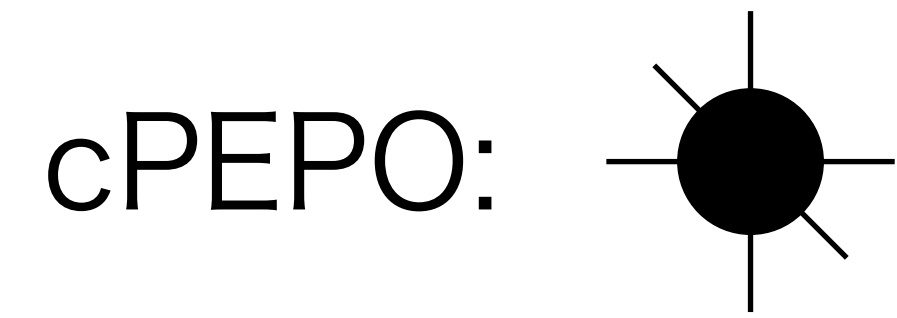
spin-1/2

AFM Heisenberg chain

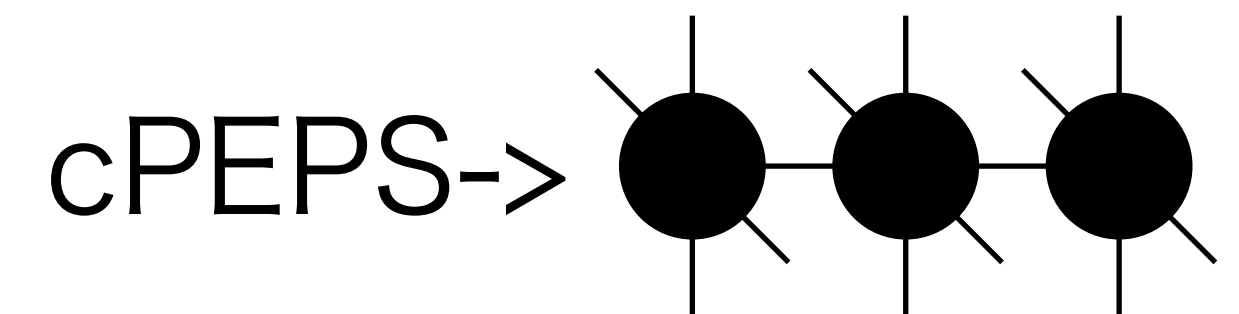


# From cMPS to cPEPS

- The extension to 2D or 3D is direct in the MPRG formalism. This is the most important advantage of our method.



- 2D Hamiltonian  $\rightarrow$  rank-6 hyper-dual number tensor (cPEPO)  $\rightarrow$  diagonalization by cPEPS  $\rightarrow$  physical quantities.



- This is simple, but technically still difficult. Currently we do not have a direct way of optimization, and we rather use a variational method.

Going to 2D (quick demos)

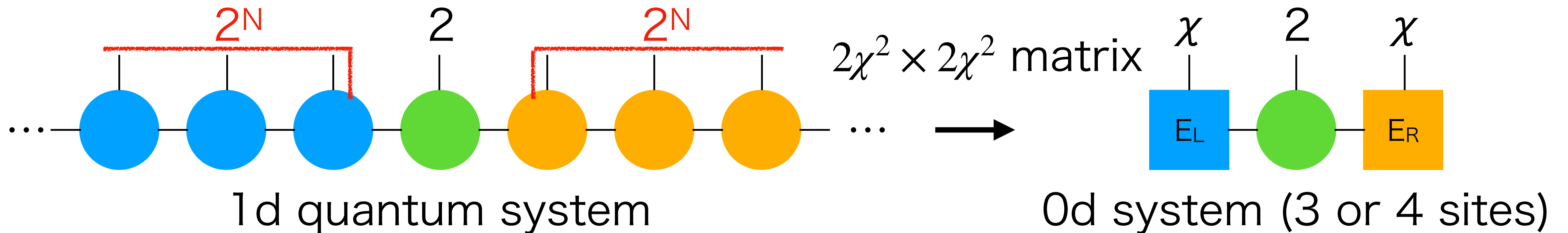
Variational formulation of RG

# “Pre-matrix product state” state

- White’s idea in 1992 is understandable as a “renormalization” of the “dimensional reduction” (DR).

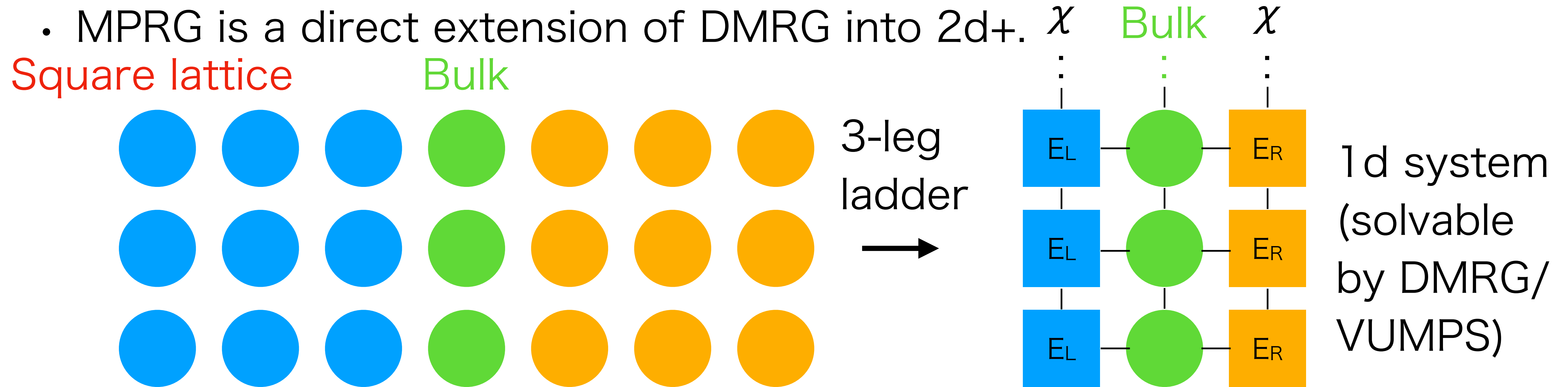
Solving 1d many-body systems  $\equiv$  Variational optimization of 0d systems

- Focusing on a single site (or two sites), place environments  $E_L$  &  $E_R$ .



- Finally, the “DMRG sweep” solves it by renormalizing whole information into environments! This is an original idea of DMRG by White (1992).

# MPRG is a higher-dimensional DMRG



- The left boundary of Bulk is a left env., the right bdry. is a right env.

In any dimensions, it is ok if the “environments” finally reproduces the correct transfer matrix!

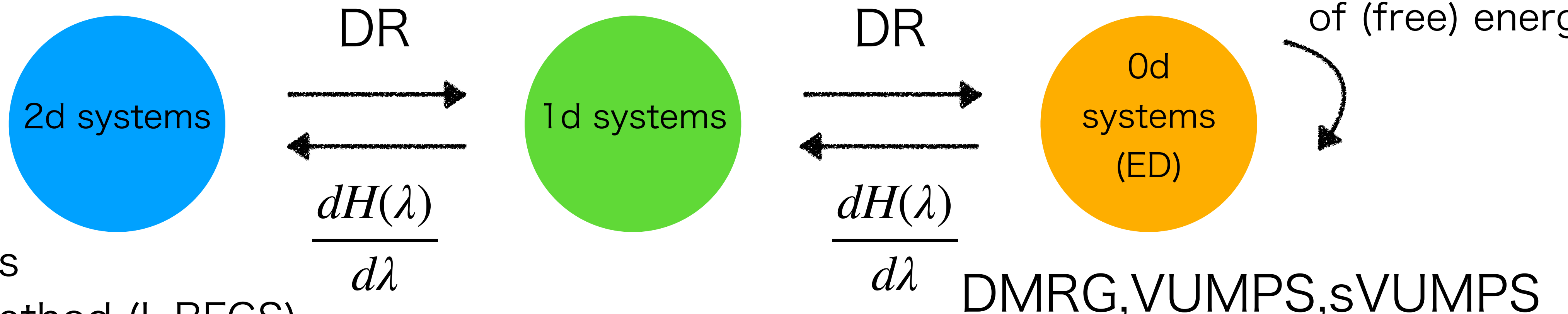
- Problem: is it possible to optimize  $E_L/E_R$  variationally by iterative DR?

# Recursive Hellmann-Feynman theorem

## - Poor man's way

- $\frac{dE(\lambda)}{d\lambda} = \langle \psi_\lambda | \frac{dH(\lambda)}{d\lambda} | \psi_\lambda \rangle$  : HF theorem (Eigenpair property)
- Higher-dimensional Hermitian MPRG is done variationally by iterative Hellmann-Feynman thms. ← Chain rule application (Backpropagation)

Automatic differentiation  
of (free) energy



Optimize tensors  
by a gradient method (L-BFGS)

DMRG, VUMPS, sVUMPS

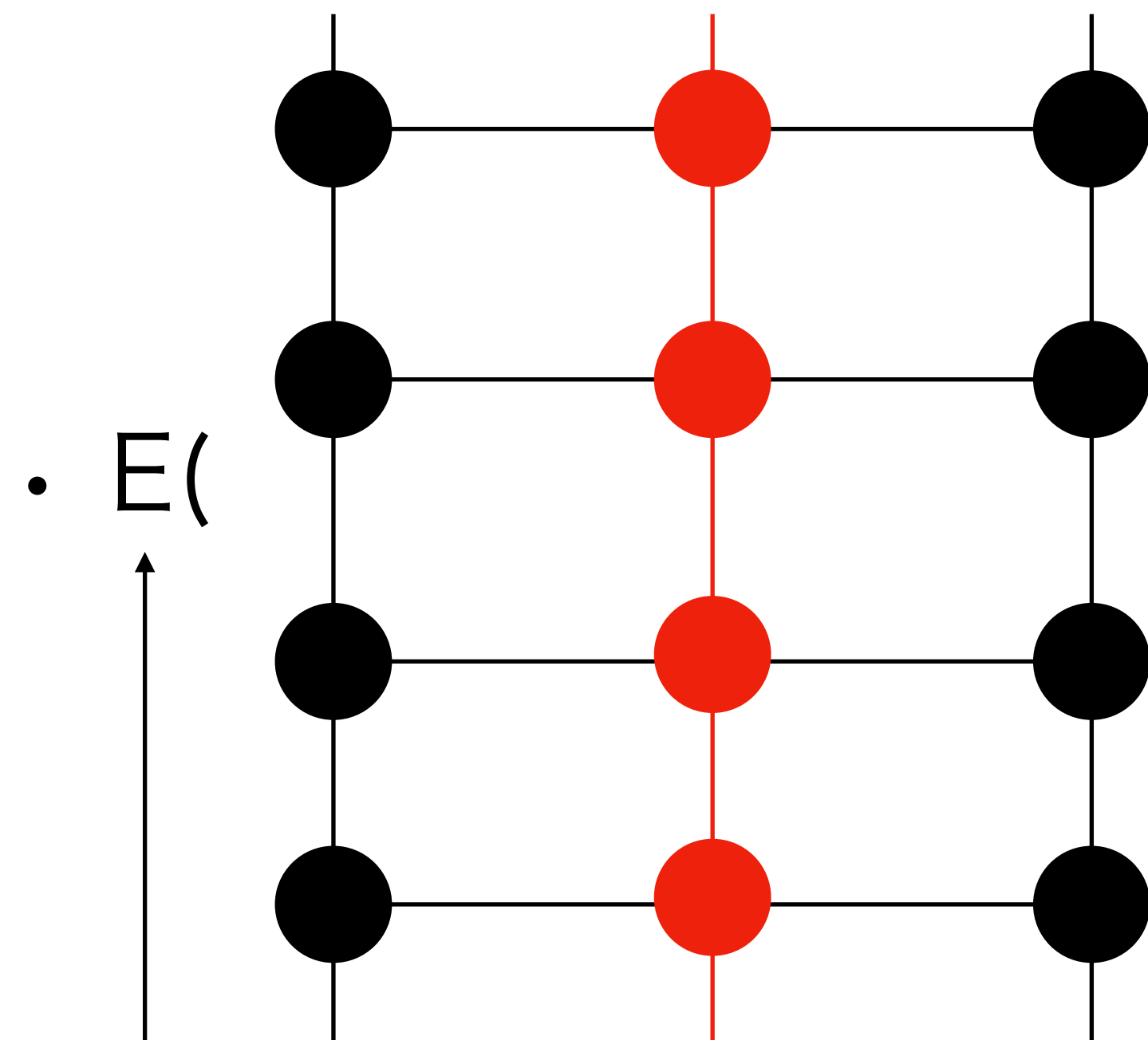
# MPRG

- Mathematically speaking,
  - 1d many-body problem  $\rightarrow$  constrained variational optimization (on Riemannian mfd.)
  - 2d many-body problem  $\rightarrow$  **bilevel** constrained variational optimization
  - 3d many-body problem  $\rightarrow$  **trilevel** constrained variational optimization
- Finally, it gets a variational problem, but the essential idea is same as DMRG, i.e. “renormalization group” (iterative optimization of environments).



# More details

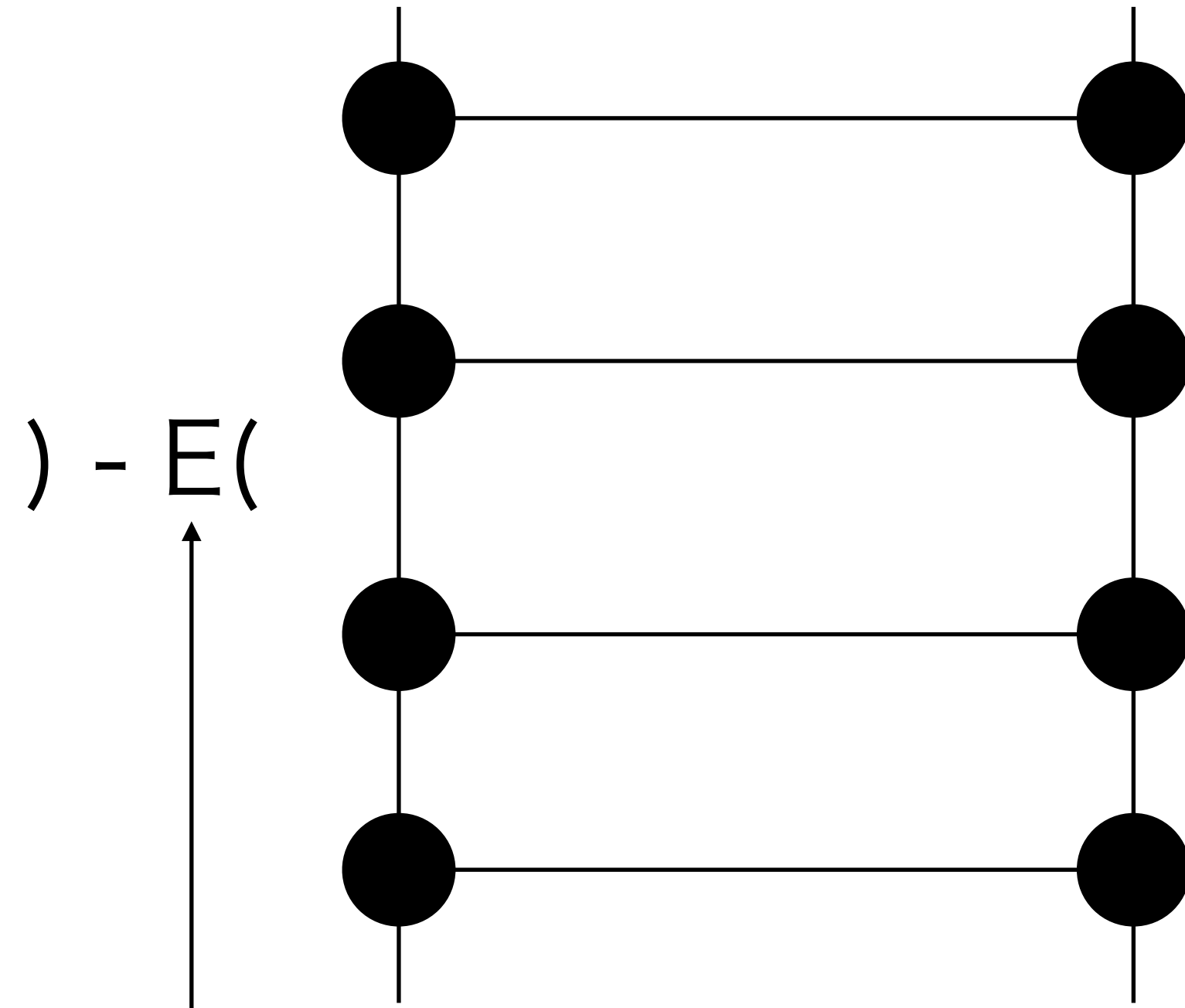
3-leg ladder Hamiltonian



bulk system

VUMPS/sVUMPS!

2-leg ladder Hamiltonian



VUMPS/sVUMPS!

$$) - E( ) = E_{\text{bulk}}$$

Variational optimization!  
(just L-BFGS)

This is very much similar to geometric optimization process in MD/DFT.

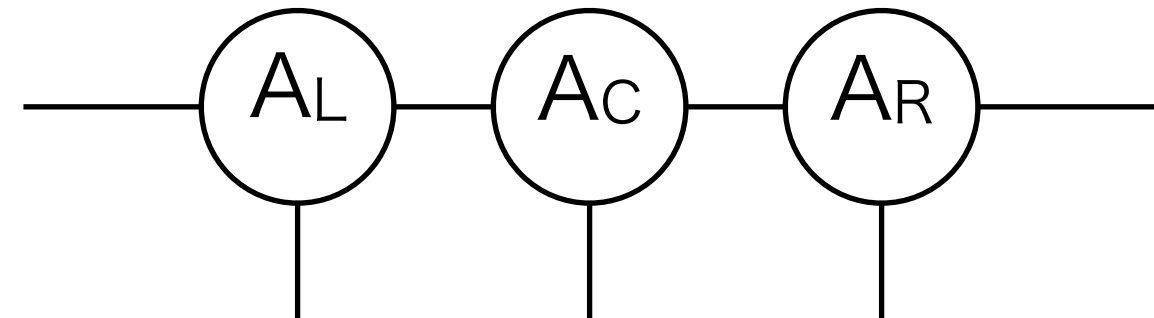
# Roughly speaking,

- Construct 3-leg/2-leg ladder Hamiltonians out of an arbitrary boundary interactions & optimization about boundary interactions.
- Very surprisingly, “(boundary + bulk) - boundary” contribution gives a good approximation of a correct 2D bulk system!
  - It seems like a very stupid idea,, but it works!
- Roughly speaking, “optimized” boundary interactions behave as the correct (renormalized!) environment of the half-infinite space.

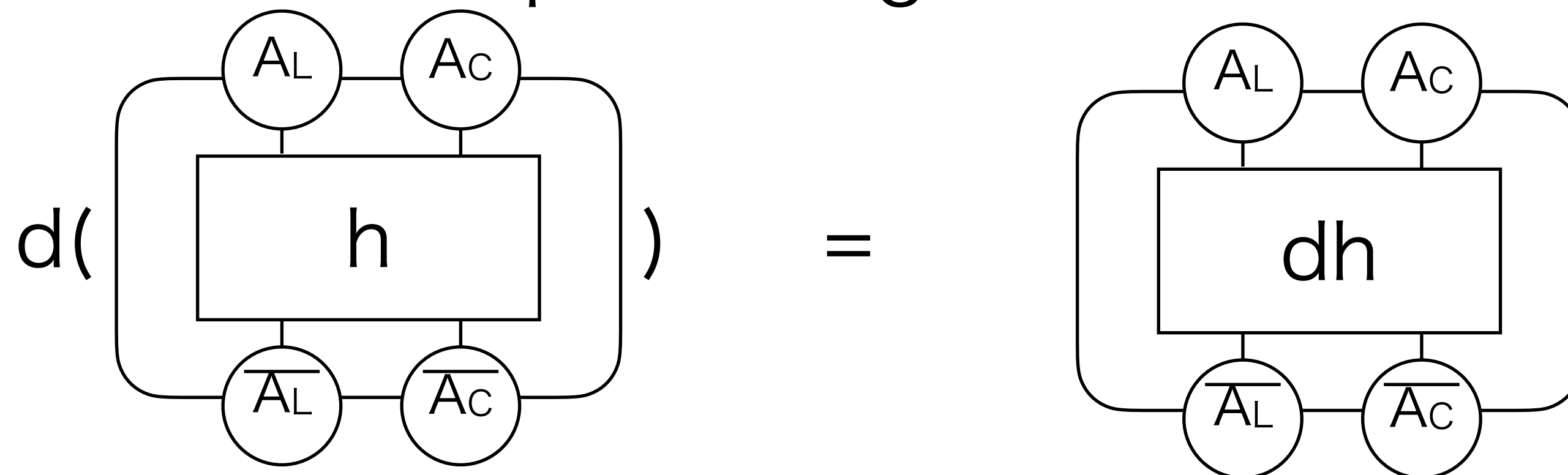
**The MPRG!**

\*In terms of MD/DFT, the boundary feels “the Hellmann-Feynman force”.

# How can we do gradient optimization?

mixed canonical form: 

- Q: How can we compute the gradient of  $E_{\text{bulk}}$ ?



- This is a consequence of the Hellmann-Feynman theorem, but this gradient works even if the eigenvector is approximate, as first pointed out by B. Vanhecke *et al.* (e.g. in the YouTube video).

<https://www.youtube.com/watch?v=efE0EekLcz4>

# [comment] VUMPS is a penalty method

- Regarding constrained optimization, VUMPS is a variant of a penalty method that is inefficient for our purpose.
- SuperVUMPS overcomes all the issues arising, i.e., using a complete Riemannian optimization to impose a constraint on manifolds.
  - Details are omitted today, but no local minimum problems were found in SuperVUMPS. Check: <https://github.com/MGYamada/SuperVUMPS.jl>
- The original VUMPS is known to suffer from some issues.

M. Hauru *et al.*, SciPost Phys. **10**, 040 (2021).

# Some results

- Ground state energy of the 2D Heisenberg model on the square lattice.

$\chi_2$	PEPS	MPRG $\chi_1=8$	MPRG $\chi_1=16$
<b>2</b>	-0.66023	-0.66721	-0.66821
<b>3*</b>	-0.66788	-0.6676...	-0.66826

- I'd rather use  $(\chi_1, \chi_2)$  than a usual  $(\chi, D)$ .
- $\chi_1$  is for MPS,  
and  $\chi_2$  is for (b/c)PEPS.

QMC:  $E = -0.6694$

# Summary

- We present 1D cMPS/cMPO/MPRG, and then 2D MPRG based on 1D techniques.
- Stability of the variational optimization allows us to correctly handle the quantum many-body problems.
- A lot of things are not done. We need more and more simulations.
  - Note: Today we only talk about the variational formulation of MPRG (vMPRG), but generally we can think of a power method version (power MPRG = pMPRG).